

ARC-2914R1

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By: Patricia M. Shafghaichi

#5  
MT  
7-15-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Berry et al.	)	Group Art Unit: 1615
	)	
Application No.: 09/497,422	)	Examiner: Not Assigned
	)	
Filed: February 03, 2000	)	
	)	
For: Stable Non-Aqueous Single Phase Viscous	)	
Vehicles and Formulations Utilizing	)	
Such Vehicles	)	

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application, for which issuance of a corrected filing receipt is respectfully requested.

There are two errors in the title of the application, as evidenced by the enclosed copy of page 1 of the Specification. A copy of the Filing Receipt, showing the correction is also enclosed.

The correction is not due to any error by applicant. No fee is due.

Respectfully submitted,

6/1/00  
Date

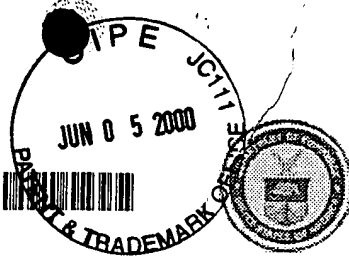
Pauline Ann Clarke  
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For Applicants

ALZA Corporation  
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Mt. View, CA 49039  
650-564-5560

## FILING RECEIPT



\*OC00000005059648\*

UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/497,422	02/03/2000	1615	1406	ARC2914R1	8	38	4

22921  
ALZA CORPORATION  
P O BOX 7210  
INTELLECTUAL PROPERTY DEPARTMENT  
MOUNTAIN VIEW, CA 940397210

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JUL 13 2000

TECH CENTER 1600/2000 Date Mailed: 04/18/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Stephen A. Berry, Hollister, CA ;  
Pamela J. Ferreira, Redwood City, CA ;  
Houdin Dehnad, El Granada, CA ;  
Anna Muchnik, Belmont, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/119,170 02/08/1999

## Foreign Applications

If Required, Foreign Filing License Granted 04/18/2000

\*\*

## Title

Stable non-aqueous single phase viscous vehicles and formulations utilizing such vehicles

## Preliminary Class

424

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MAY 02 2000

PATENT DEPT.

Data entry by : MEREDITH, WANDA

Team : OIPE

Date: 04/18/2000



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**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**NOT GRANTED**

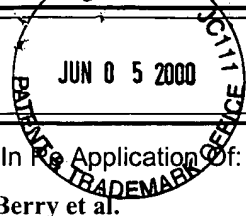
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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231

		<b>TRANSMITTAL LETTER</b> (General - Patent Pending)		Docket No. ARC2914R1	
In Re Application Of: Berry et al.					
Serial No. 09/497,422		Filing Date February 3, 2000		Examiner Unassigned	
				Group Art Unit 1615	
Title: STABLE NON-AQUEOUS SINGLE PHASE VISCOUS VEHICLES AND FORMULATIONS UTILIZING SUCH VEHICLES					
<p style="text-align: center;"><u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u></p> <p>Transmitted herewith is:</p> <p style="margin-left: 40px;">Request for Corrected Official Filing Receipt Copy of original Filing Receipt showing correction Copy of Page 1 of Specification Acknowledgement Postcard</p> <p style="text-align: right;">JUL 13 2000</p> <p>in the above identified application.</p> <p><input checked="" type="checkbox"/> No additional fee is required.</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 01-1173 as described below. A duplicate copy of this sheet is enclosed.</p> <p style="margin-left: 40px;"><input type="checkbox"/> Charge the amount of _____</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> Credit any overpayment.</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> Charge any additional fee required.</p> <p style="margin-top: 40px;"><u>Pauline Ann Clarke</u> Signature</p> <p style="margin-top: 20px;">Dated: 6/1/00</p>					
CC:		<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on <u>6-01-00</u> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p style="text-align: center;"><u>Katrina M. Ghafghaichi</u> Signature of Person Mailing Correspondence</p><p style="text-align: center;">Katrina M. Ghafghaichi Typed or Printed Name of Person Mailing Correspondence</p></div>			



Bib Data Sheet


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 Patent and Trademark Office

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

<b>SERIAL NUMBER</b> 09/497,422	<b>FILING DATE</b> 02/03/2000 <b>RULE</b> _	<b>CLASS</b> 424	<b>GROUP ART UNIT</b> 1615	<b>ATTORNEY DOCKET NO.</b> ARC2914R1
<b>APPLICANTS</b> Stephen A. Berry, Hollister, CA ; Pamela J. Ferreira, Redwood City, CA ; Houdin Dehnad, El Granada, CA ; Anna Muchnik, Belmont, CA ;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/119,170 02/08/1999 JUL 13 2000 TECH CENTER 1600/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 04/18/2000</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged	Examiner's Signature _____ Initials _____	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 8	<b>TOTAL CLAIMS</b> 38
<b>INDEPENDENT CLAIMS</b> 4				
<b>ADDRESS</b> 22921				
<b>TITLE</b> Stable non-aqueous single phase viscous vehicles and formulations utilizing such vehicles				
<b>FILING FEE RECEIVED</b> 1406	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			
				<input type="checkbox"/> All Fees
				<input type="checkbox"/> 1.16 Fees ( Filing )
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				<input type="checkbox"/> 1.18 Fees ( Issue )
				<input type="checkbox"/> Other _____
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STABLE NON-AQUEOUS SINGLE PHASE VISCOUS VEHICLES  
AND FORMULATIONS UTILIZING SUCH VEHICLES

5 Field of the Invention

This invention relates to stable non-aqueous single phase biocompatible viscous vehicles capable of suspending beneficial agents and uniformly dispensing said agents at low flow rates and more particularly to stable uniformly mixed formulations of beneficial agents in stable non-  
10 aqueous single phase biocompatible viscous vehicles.

References

The following references are referred to by numbers in brackets ([ ]) at the relevant portion of the specification.

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